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S.T.	1.	Dobson, Clair L., et al., <i>The Effect of Oleic Acid on the Human Ileal Brake and Its Implications for Small Intestinal Transit of Tablet Formulations</i> , <u>Pharmaclinical Research</u> , Vol. 16, No. 1. 1999, pp. 92- 96 (1999).

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FORM PTO-1449 (Modified)

ATTY. DOCKET NO.  
**P07 43084**SERIAL NO.  
**09/420,046**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENTAPPLICANT: **HENRY C. LIN**FILING DATE: **10/18/99**GROUP ART UNIT:  
**1614**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S.T	4,160,452	07/10/79	Theeuwes			
	4,183,960	01/15/80	Asher et al.			
	4,193,985	03/18/80	Bechgaard et al.			
	4,256,108	03/17/81	Theeuwes			
	4,265,874	05/05/81	Bonsen et al.			
	4,572,833	02/25/86	Pedersen et al.			
	4,690,822	09/01/87	Uemura et al.			
	4,863,744	09/05/89	Urquhart et al.			
	5,322,697	06/21/94	Meyer			
S.T	5,411,751	05/02/05	Crissinger et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	Translation	
						YES	NO
S.T	EP 0 200 902 A3	12/11/86	EPO				
S.T	EP 0 539 319 A2	28/04/93	EPO				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

S.T	1.	Anderson, Beth W., et al. <i>Influence of Infusate Viscosity on Intestinal Absorption in the Rat, Gastroenterology</i> , Vol. 97, pp. 938-43 (Oct 1989).
S.T	2.	Booyse, Francois M. et al., <i>Effects of Chronic Oral Consumption of Nicotine on the Rabbit Aortic Endothelium</i> , <i>Am J Pathol</i> , Vol. 102, No. 2, pp. 229-238 (1981).
S.T	3.	Brown, N. J. et al., XP 000613253, <i>Characteristics of lipid-substances activating the ileal brake in the rat</i> , <i>Gut</i> , Vol. 31, No. 10, pp. 1126-1129 (1990).

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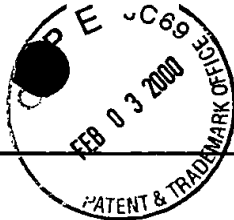
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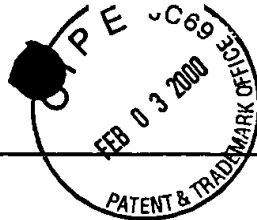
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S.T.	4.	Brown, Michael S., <i>The Hyperlipoproteinemias and Other Disorders of Lipid Metabolism</i> , Chapter 103 on <i>Disorders of lipid metabolism</i> , <u>Harrison's Principles of Internal Medicine</u> , 13 <sup>th</sup> Ed. Pp. 547-559 (1994).
	5.	Bühner, Sabine et al., <i>Characteristics of Postprandial Duodenal Motor Patterns in Dogs</i> , <u>Digestive Diseases and Sciences</u> , Vol. 34, No. 12, pp. 1873-1881 (Dec 1989).
	6.	Cammack, J. et al., <i>Effect of prolonged exercise on the passage of a solid meal through the stomach and small intestine</i> , <u>Gut</u> , Vol. 23, pp. 957-961 (1982).
	7.	Carlson, G. M. et al., <i>Effects of Nicotine on Gastric antral and Duodenal Contractile Activity in the Dog</i> , <u>The Journal of Pharmacology and Experimental Therapeutics</u> , Vol. 172, No. 2, pp. 367-376 (1970).
	8.	Cohn, Clarence, <i>Feeding patterns and some aspects of cholesterol metabolism</i> , <u>Federation Proceedings</u> , Vol. 23, pp. 76-81 (1964).
	9.	Cohn, Clarence, <i>Meal-Eating, Nibbling, and Body Metabolism</i> , <u>The Journal of The American Dietetic Association</u> , Vol. 38, pp. 433-436 (May 1961).
	10.	Cunningham, K. M. et al., <i>Gastrointestinal adaptation to diets of differing fat composition in human volunteers</i> , <u>Gut</u> , Vol. 32, pp. 483-486 (1991).
	11.	Davis, Stanley S., et al., <i>The Effect of Density on the Gastric Emptying of Single- and Multiple- Unit Dosage Forms</i> , <u>Pharmaceutical Research</u> , Vol. 3, No. 4, PP. 208-13 (1986).
	12.	Delin, N.A., et al., <i>Comparison of Gamma Camera and Withdrawal Methods for the Measurement of Gastric Emptying</i> , <u>Scand. J. Gastroent.</u> , pp. 867 - 872 (1978).
	13.	Dreznik, Zeev, MD, et al., <i>Effect of Ileal Oleate on Interdigestive Intestinal Motility of the Dog</i> , <u>Digestive Diseases and Sciences</u> , Vol. 39, No. 7, pp. 1511-1518 (July 1994).
	14.	Edes, Thomas E., M.D., <i>Diarrhea in Tube-Fed Patients: Feeding Formula Not Necessarily the Cause</i> , <u>The American Journal of Medicine</u> , Vol. 88, pp. 91-93, (February 1990).
	15.	Frankenfield, David C., et al., <i>Soy-polysaccharide fiber: effect on diarrhea in tube-fed, head-injured patients<sup>1-3</sup></i> , <u>Am. J. Clin. Nutr.</u> , Volume 50, pp. 533-538 (1989).
	16.	Fraser, R., et al., <i>The Effect of Dietary Fat Load on the Size and Composition of Chylomicrons in Thoracic Duct Lymph</i> , <u>Q. Jl. Exp. Physiol.</u> , Vol. 53, pp. 390-398, (1968).
	17.	Girardet, Roland E., M.D., et al., <i>Surgical Techniques for Long-term Study of Thoracic Duct Lymph Circulation in Dogs</i> , <u>Journal of Surgical Research</u> , Vol. 165, pp. 168-175 (1973).
	18.	Gruy-Kapral, Christine et al., <i>Conjugated Bile Acid Replacement Therapy for Short-Bowel Syndrome</i> , <u>Gastroenterology</u> , Vol. 116, pp. 15-21 (1999).
	19.	Gwinup, Grant, M.D., et al., <i>Effect of Nibbling Versus Gorging on Serum Lipids in Man</i> , <u>American Journal of Clinical Nutrition</u> , Vol. 13, pp. 209-213 (October 1963).
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	21.	Huge, Andreas, et al., <i>Effects of Enteral Feedback Inhibition on Motility, Luminal Flow, and Absorption of Nutrients in Proximal Gut of Minipigs</i> , <u>Digestive Diseases and Sciences</u> , Vol. 40, No. 5, pp. 1024-1034 (May 1995).
	22.	Irwin, M. Isabel, B.H.Sc., M.Sc., Ph.D, et al., <i>Frequency and Size of Meals and Serum Lipids, Nitrogen and Mineral Retention, Fat Digestibility, and Urinary Thiamine and Riboflavin in Young Women</i> , <u>The American Journal of Clinical Nutrition</u> , Vol. 20, No. 8, pp. 816-821 (August 1967).
	23.	Jagannathan, S.N., Ph.D., <i>Effects of Gormandizing and Semicontinuous Eating of Equicaloric Amounts of Formula-Type High Fat Diets on Plasma Chloesterol and Triglyceride Levels in Human Volunteer Subjects</i> , <u>American Journal of Clinical Nutrition</u> , Vol. 15, pp. 90-93 (August 1964).
	24.	Jenkins, David J.A., M.D., Ph.D., et al., <i>Nibbling Versus Gorging: Metabolic Advantages of Increased Meal Frequency</i> , <u>N.Engl. J. Med.</u> , Vol. 321, No. 14, pp. 929-934 (Oct. 5, 1989).
	25.	Jenkins, P.J., et al., <i>Severity of coronary atherosclerosis related to lipoprotein concentration</i> , <u>British Medical Journal</u> , Vol. 2, pp. 388-391 (1978).
	26.	Keinke, Oliver, et al., <i>Effect of Oleic Acid on Canine Gastroduodenal Motility, Pyloric Diameter and Gastric Emptying</i> , <u>Quarterly Journal of Experimental Physiology</u> , Vol. 68, pp. 675-686 (1983).
	27.	Keinke, Oliver, et al., <i>Mechanical Factors Regulating Gastric Emptying of Viscous Nutrient Meals in Dogs</i> , <u>Quarterly Journal of Experimental Physiology</u> , Vol. 69, pp. 781-795 (1984).
	28.	Khosla, R, et al., <i>The effect of polycarbophil on the gastric emptying of pellets</i> , <u>J. Pharm. Pharmacol.</u> , Vol. 39, pp. 47-49 (1987).
	29.	Lin, Henry C., et al., <i>Inhibition of gastric emptying by sodium oleate depends on length of intestine exposed to nutrient</i> , <u>Am. J. Physiology</u> , Volume 259, Chap.22, pp. G1031-G1036 (1990).
	30.	Lin, H.C., et al., <i>Acute Desensitization of Intestinal Motility Response</i> (Abstract), <u>Gastroenterology</u> , 103:1392 (1992).
	31.	Lin, H.C., et al., <i>Inhibition of gastric emptying by glucose depends on length of intestine exposed to nutrient</i> , <u>Am. J. Physiol.</u> , Vol. 256, Ch.19, pp. G404-G411 (1989).
	32.	Lin, H.C., et al., <i>Jejunal Brake, Inhibition of Intestinal Transit by Fat in the Proximal Small Intestine</i> , <u>Digestive Diseases and Sciences</u> , Vol. 41, No. 2, pp. 326-329 (February 1996).
	33.	Lin, H.C., et al., <i>Inhibition of Intestinal Transit by Fat Depends on Length of Exposure to Nutrient</i> , (Abstract), <u>Gastro.</u> , 106:A531 (1994).
S.T.	34.	Maida, V., et al., <i>Effects of cigarette smoking and dietary lipids on rat lipoprotein metabolism</i> , <u>Atherosclerosis</u> , Vol. 80, pp. 209-216 (1990).

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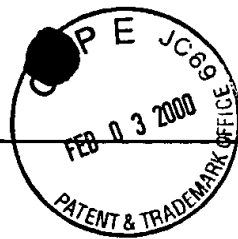
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S.T	35.	McGill, Henry C., Jr., <i>Potential Mechanisms for the Augmentation of Atherosclerosis and Atherosclerotic Disease by Cigarette Smoking</i> , <u>Preventive Medicine</u> , Vol. 8, pp 390-403 (1979).
	36.	Mjos, Ole D., et al., <i>Characterization of Remnants Produced during the Metabolism of Triglyceride-Rich Lipoproteins of Blood Plasma and Intestinal Lymph in the Rat</i> , <u>The Journal of Clinical Investigation</u> , Vol. 56, pp. 603-615 (September 1975).
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	38.	Ohtani, N, et al., <i>Mediators for Ileal Brake Differ Between the Stomach and Small Intestine in Conscious Dogs</i> , (Abstract), <u>Gastroenterology</u> , Vol. 108, No. 4 (April 1995).
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	40.	Read, N.W., et al., <i>Effect of Infusion of Nutrient Solutions Into the Ileum on Gastrointestinal Transit and Plasma Levels of Neurotensin and Enteroglucagon</i> , <u>Gastroenterology</u> , Vol. 86, pp. 274-80 (1984).
	41.	Reasbeck, P. G. et al., <i>The effect of somatostatin on dumping after gastric surgery: A preliminary report</i> , <u>Surgery</u> , Vol. 99, No. 4, pp. 462-467 (Apr 1986).
	42.	Redgrave, T.G., et al., <i>Changes in plasma very low density and low density lipoprotein content, composition, and size after a fatty meal in normo- and hypertriglyceridemic man</i> , <u>Journal of Lipid Research</u> , Volume 20, pp. 217-229 (1979).
	43.	Rombeau, John L. et al., <i>Enteral and Parenteral Nutrition in Patients with Enteric Fistulas and Short Bowel Syndrome</i> , <u>Surgical Clinics of North America</u> , Vol. 67, No. 3, pp. 551-571 (Jun 1987).
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	46.	Schemann, Michael, et al., <i>Postprandial Patterns of Canine Jejunal Motility and Transit of Luminal Content</i> , <u>Gastroenterology</u> , Vol. 90, pp. 991-1000 (1986).
	47.	Schemann, M, et al., <i>The Utility of Cellulose Meals for Studies on Gastrointestinal Motility in Dogs</i> , <u>Digestion</u> , Vol. 25, pp. 194-196 (1982).
S.T	48.	Soper, Nathaniel J., et al., <i>The 'Ileal Brake' After Ileal Pouch - Anal Anastomosis</i> , <u>Gastroenterology</u> , Vol. 98, pp 111-116 (Jan. 1990).
	49.	Spiller, R.C., <i>The ileal brake - inhibition of jejunal motility after ileal fat perfusion in man</i> , <u>Gut</u> , Vol. 25, pp. 365-374 (1984).

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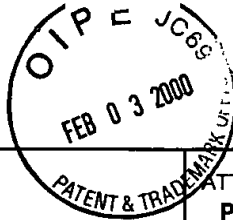
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S.T.	50.	Spiller, R.C., et al., <i>Further characterisation of the 'ileal brake' reflex in man - effect of ileal infusion of partial digests of fat, protein, and starch on jejunal motility and release of neurotensin, enteroglucagon, and peptide YY</i> , <u>Gut</u> , Vol. 29, pp. 1042-1051 (1988).
	51.	Summers, R.W., <i>Computerized Analysis of Spike Burst Activity in the Small Intestine</i> , <u>IEEE Transactions on Biomedical Engineering</u> , Vol. BME-29, No. 5, pp. 309-14 (May 1982).
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	53.	Warnick, G. Russell, <i>Enzymatic Methods for Quantification of Lipoprotein Lipids</i> , <u>Methods in Enzymology</u> , Vol. 129, pp. 101-123 (1986).
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	57.	Wu, Ai-Lien, et al., <i>Transmucosal triglyceride transport rates in proximal and distal rat intestine in vivo</i> , <u>Journal of Lipid Research</u> , Vol. 16, pp. 251-257 (1975).
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	59.	Zilversmit, Donald B., Ph.D., <i>Atherogenesis: A Postprandial Phenomenon</i> , <u>George Lyman Duff Memorial Lecture, Circulation</u> , Vol 60, No. 3, pp. 473-485 (1979).
	60.	Zilversmit, D.B., <i>Chylomicrons, Structural and Functional Aspects of Lipoproteins in Living Systems</i> , Chapter C1, pp. 330-368 (1969).
	61.	Information Disclosure Statement filed with the USPTO on July 2, 1997 in 08/832,307/filed April 3, 1997 transmitting International Search Report for PCT/US96/07165 filed May 16, 1996.
	62.	Starha, L. et al., [Oral long-acting drugs. 8. Effects of palmitic and lauric acid and their glycerine esters on the slowing of the durg release], <u>Cesk.Farm.</u> , 21(7):311-14 (Sep 1972). ABSTRACT ONLY.
S.T.	63.	Minima et al., <u>KHIM.-FARM. ZH.</u> , 12(2), 120-5 (1978). Unable to obtain

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